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PATENT-SEA			HENRY, RODNEY M	
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SEATTLE, WA 98111-1247			ART UNIT	PAPER NUMBER
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentprocurement@perkinscoie.com

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Office Action Summary

Application No.

10/748,118

Applicant(s)

O'SHEA ET AL.

Examiner

RODNEY HENRY

Art Unit

3622

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 October 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-65 is/are pending in the application.
- 4a) Of the above claim(s) 8, 13-21, 23, 25, 34 and 37-65 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7, 9-12, 22, 24, 26-33, 35 and 36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. The following is a non-final office action on the merits. Examiner acknowledges communications dated 10/8/2009. Claims 1-7, 9-12, 22, 24, 26-33, 35 and 36 are currently pending and are considered below.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. **Claims 1-4, 6, 11, and 27-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), and further in view of Kassab et al. (US 20050125292).**

As per Claim 1:

Wodka et al. discloses an electronic rebate system configured for processing a manufacturer's rebate that is provided to a purchase of a product, said system comprising:

at least one electronic tag device associated with products made available for purchase, wherein each distinct product has at least one electronic tag physically connected thereto, and

wherein said electronic tag stores product-identification-information;
at least one electronic reading device configured to retrieve information from said electronic tag ;

a first computer in communication with said electronic reading device to retrieve said product-identification-information stored in the electronic tag associated with a product being purchased by a customer at a point of sale and for which a manufacturer's rebate applies solely as a result of purchase of the product (see paragraphs [0017, 0021 discusses point of redemption (as in POS sale of a product)], FIG. 5 and claims 9, and 11)..

Wodka et al. does not explicitly disclose said first computer further configured to use said product-identification-information to acquire rebate-claim-information so that the customer may receive the manufacturer's rebate;

said first computer further configured to communicate with a second computer and to transfer to said second computer said rebate-claim-information and wherein said transfer occurs substantially contemporaneous with the purchase; and

wherein said second computer is configured to process and validate a rebate claim with said rebate-claim-information, and transfer rebate-claim-status information to said first computer, said first computer configured to communicate said rebate-claim-status information to the customer.

However, Litwin discloses said first computer further configured to use said product-identification-information to acquire rebate-claim-information so that the customer may receive the manufacturer's rebate;

said first computer further configured to communicate with a second computer and to transfer to said second computer said rebate-claim-information and wherein said transfer occurs substantially contemporaneous with the purchase; and

wherein said second computer is configured to process and validate a rebate claim with said rebate-claim-information, and transfer rebate-claim-status information to said first computer, said first computer configured to communicate said rebate-claim-status information to the customer (see col 7, lines 33-44, col 5, lines 59-67, and FIGS 2, 5).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add rebate claim information, validation of rebates and rebate information transfer between computers to the system of Wodka et al. in order to effectively manage the incentive system.

Kassab et al. further discloses a manufacturer's rebate applies solely as a result of purchase of the product (see [0025] In one example, upon obtaining information that a rebate is being offered, the administrator or the point-of-sale device prompts the retailer to enter purchase data, such as customer data (for example, customer identity) sufficient to enable the administrator to collect the manufacturer rebate from the manufacturer. Once the purchase data has been received by the administrator, the administrator then delivers the administrator rebate in the form of a credit which is applied directly to a rebate card or displayed as a credit on the screen).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a manufacturer's rebate applies solely as a result of purchase of the product to the system of Wodka et al. in order to provide the customer with discounts at the time of purchase.

As per claims 2, 28 Wodka et al. discloses the electronic tag device is an RFID smart tag (see paragraph [0011]).

As per claims 3, 29 Wodka et al. discloses the electronic reading device is an RFID STR device (see paragraphs [0026, 0027]).

As per claim 4,

Wodka et al. does not explicitly disclose a customer interface configured with said first computer to receive and communicate said rebate-claim-status information to the customer.

However, Litwin discloses a customer interface configured with said first computer to receive and communicate said rebate-claim-status information to the customer (see FIGS. 2, 5).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a customer interface configured with said first computer to receive and communicate said rebate-claim-status information to the customer to the system of Wodka et al. in order to effectively manage the incentive system.

As per claim 6, Wodka et al. discloses product-identification-information comprises at least one member from the group consisting of: (a) product model number; (b) product serial number; (c) rebate promotion code; (d) product name; (e) identification code; (f) proof-of-purchase code; and (g) an electronic address (see paragraph [0028]).

As per claims 11, 30 Wodka et al. discloses that a first computer is a retailer central computer (see FIG. 4).

As per Claim 27:

Wodka et al. discloses a method for electronically making a claim for a manufacturer's rebate that is provided by a manufacturer upon purchase of a product, said method comprising:

providing at least one electronic reading device configured to retrieve product-information stored in an electronic tag associated with a purchased product;

configuring a first computer to communicate with said electronic reading device to retrieve at least part of said product-information stored in at least one electronic tag associated with a product being purchased by a customer at a point of sale thereby acquiring product-identification-information;

configuring said first computer to acquire rebate-claim-information for making a claim for the manufacturer's rebate for purchase of the product using at least part of said product-identification-information (see paragraphs [0017, 0021, 0029], FIG. 5 and claims 9, and 11).

Wodka et al. does not explicitly disclose configuring said first computer to initiate a data transfer of said rebate-claim- information to a second computer; and

configuring said second computer to process and validate the rebate claim with said rebate-claim-information, and transfer rebate-claim-status information to said first computer, said first computer further configured to communicate said rebate-claim-status information to the customer.

However, Litwin discloses configuring said first computer to initiate a data transfer of said rebate-claim- information to a second computer; and

configuring said second computer to process and validate the rebate claim with said rebate-claim-information, and transfer rebate-claim-status information to said first computer, said first computer further configured to communicate said rebate-claim-status information to the customer (see col 7, lines 33-44, col 5, lines 59-67, and FIGS 2, 5).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add rebate claim information, rebates information transfer between computers to the system of Wodka et al. in order to effectively manage the incentive system.

Kassab et al. further discloses a manufacturer's rebate applies solely as a result of purchase of the product (see [0025] In one example, upon obtaining information that a rebate is being offered, the administrator or the point-of-sale device prompts the retailer to enter purchase data, such as customer data (for example, customer identity) sufficient to enable the administrator to collect the manufacturer rebate from the manufacturer. Once the purchase data has been received by the administrator, the administrator then delivers the administrator rebate in the form of a credit which is applied directly to a rebate card or displayed as a credit on the screen).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a manufacturer's rebate applies solely as a result of purchase of the product to the system of Wodka et al. in order to provide the customer with discounts at the time of purchase.

4. Claims 5, 7, and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 2005/0125292), and further in view of Postrel (US 2005/0021400).

As per claim 5, Wodka et al. does not explicitly disclose rebate-claim-status Information is one of real-time information and near real-time information.

However, Postrel discloses rebate claim status information is one of real-time information and near real-time information (see paragraph [0068]).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add rebate claim status information is one of real-time information and near real-time information to the system of Wodka et al. in order to effectively manage the incentive system.

As per claims 7, 33 Wodka does not explicitly disclose rebate-claim-information comprises at least one member from the group consisting of:

(a) customer name; (b) customer's financial institution tracking number; (c) customer's account number at customer's financial institution; (d) customer's mailing address; (e) customer's e-mail address; (f) customer's phone number; (g) customer's credit card number; (h) customer's debit card number; (i) a pin code; (j) an authorization code; (k) customer's electronic signature; (l) product model number; (m) product serial number; (n) rebate promotion code; (o) product name; (p) an electronic address; (q) proof-of-purchase code; (r) date of purchase; (s) time of purchase; (t) product identification code;

(u) product information; (v) retailer name; (w) retailer location; (x) retailer identification code; and (y) transaction code.

However, Postrel discloses rebate claim information comprises at least one member from the group consisting of:

(a) customer name; (b) customer's financial institution tracking number; (c) customer's account number at customer's financial institution; (d) customer's mailing address; (e) customer's e-mail address; (f) customer's phone number; (g) customer's credit card number; (h) customer's debit card number; (i) a pin code; (j) an authorization code; (k) customer's electronic signature; (l) product model number; (m) product serial number; (n) rebate promotion code; (o) product name; (p) an electronic address; (q) proof-of-purchase code; (r) date of purchase; (s) time of purchase; (t) product identification code; (u) product information; (v) retailer name; (w) retailer location; (x) retailer identification code; and (y) transaction code (see paragraph [0063] via account).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add rebate claim consisting of accounts to the system of Wodka et al. in order to allow for direct transfers to customers accounts.

5. Claims 9, 12, 31, and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 2005/0125292), and further in view of Algiene (US 2003/0229540).

As per claim 9, Wodka et al does not explicitly disclose a first computer is further configured to generate at least one of (a) rebate status documentation comprising at least part of said rebate status information wherein said rebate status documentation is given to the customer at the point of sale and (b) a receipt comprising at least part of said rebate status information wherein said receipt is given to the customer at the point of sale.

However, Algiene discloses a first computer is further configured to generate at least one of (a) rebate status documentation comprising at least part of said rebate status information wherein said rebate status documentation is given to the customer at the point of sale and (b) a receipt comprising at least part of said rebate status information wherein said receipt is given to the customer at the point of sale (see paragraph [0026]).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a first computer is further configured to generate at least one of (a) rebate status documentation comprising at least part of said rebate status information wherein said rebate status documentation is given to the customer at the point of sale and (b) a receipt comprising at least part of said rebate

status information wherein said receipt is given to the customer at the point of sale to the system of Wodka et al. in order to provide customers with a record of the rebate.

As per claims 12, 31 Wodka et al. does not explicitly disclose said second computer is one of (a) a manufacturer central computer and (b) a rebate processing center central computer.

However, Algine discloses said second computer is one of (a) a manufacturer central computer and (b) a rebate processing center central computer (see paragraph [0049], and FIG. 6A).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add said second computer is one of (a) a manufacturer central computer and (b) a rebate processing center central computer to the system of Wodka et al. in order to effectively manage the incentive system.

As per claims 36,

Wodka et al. does not explicitly disclose that a first computer is further configured to generate at least one of (a) rebate status documentation comprising at least part of said rebate status information wherein said rebate status documentation is given to the customer at the point of sale and (b) a receipt comprising at least part of said rebate status information wherein said receipt is given to the customer at the point of sale.

However Algine discloses a first computer is further configured to generate at least one of (a) rebate status documentation comprising at least part of said rebate status information wherein said rebate status documentation is given to the customer at the point of sale and (b) a receipt comprising at least part of said rebate status

information wherein said receipt is given to the customer at the point of sale (see paragraph [0026]).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a receipt comprising at least part of said rebate status information wherein said receipt is given to the customer at the point of sale to the system of Wodka et al. in order to provide customers with a record of the rebate.

6. Claims 10, and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 2005/0125292), and further in view of Packes, Jr. et al. (US 7,006,983).

As per claims 10, 35 Wodka et al. does not explicitly disclose rebate status information comprises at least one member from the group consisting of: (a) rebate claim accepted notice; (b) rebate claim denied notice; (c) rebate claim denied code; (d) rebate claim reference code; (e) EFT transaction code; (g) e-mail notice; and (h) rebate check number.

However Packes Jr, et al. discloses rebate status information comprises at least one member from the group consisting of: (a) rebate claim accepted notice; (b) rebate claim denied notice; (c) rebate claim denied code; (d) rebate claim reference code; (e) EFT transaction code; (g) e-mail notice; and (h) rebate check number (see col 15, lines 59-67).

Therefore, it would have been obvious to one having ordinary skill in the art at

the time the invention was made to add notification to the system of Wodka et al. in order to keep customers informed of rebate status.

7. Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 20050125292), and further in view of Nguyen (US 2003/0220839).

As per claim 22, Wodka et al. does not explicitly disclose a second remote computer is a portable customer computer in communication with at least one of said first computer and said first remote computer via a wireless communication connection.

However Nguyen discloses a second remote computer is a portable customer computer in communication with at least one of said first computer and said first remote computer via a wireless communication connection (see paragraph [0036] and FIG. 2).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a second remote computer is a portable customer computer in communication with at least one of said first computer and said first remote computer via a wireless communication connection to the system of Wodka et al. in order to promote wireless transactions.

8. Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 20050125292), and further in view of McCarthy (US 5,202,826).

As per claim 24, Wodka et al. does not explicitly disclose that a first computer is further configured to initiate an electronic fund transfer from a first bank account into a second bank account in the amount of the rebate.

However McCarthy discloses an electronic consumer rebate having a first computer configured to initiate an electronic fund transfer from a first bank account into a second bank account in the amount of the rebate (see Column 2, lines 1-30).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add a first computer is further configured to initiate an electronic fund transfer from a first bank account into a second bank account in the amount of the rebate to the system of Wodka et al. in order to allow customers to have their cash incentives deposit to their bank accounts.

9. Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 20050125292), and further in view of Scroggie et al. (US 6,185,541).

As per claims 26, Wodka et al. does not explicitly disclose said first or second computer is configured to transmit an electronic mail message to a predefined electronic mail address wherein said electronic mail message contains at least part of said rebate-claim-status information.

However Scroggie et al. discloses said first or second computer is configured to transmit an electronic mail message to a predefined electronic mail address wherein said electronic mail message contains at least part of said rebate-claim-status information (See Abstract).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add said first or second computer is configured to transmit an electronic mail message to a predefined electronic mail address wherein said electronic mail message contains at least part of said rebate-claim-status information to the system of Wodka et al. in order to promote communication with customers electronically.

10. Claim 32 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wodka et al. (US 2003/0171984), in view of Litwin (US 6,374,228), in view of Kassab et al. (US 2005/0125292), and further in view of Lane et al. (US 7,221,258).

As per claim 32, Wodka et al. does not explicitly disclose product-identification-information comprises at least one member from the group consisting of: (a) product model number; (b) product serial number; (c) rebate promotion code; (d) product name; (e) identification code; (f) proof-of-purchase code; and (g) an electronic address; and (f) a URL link.

However Lane et al. discloses product-identification-information comprises at least one member from the group consisting of: (a) product model number; (b) product serial number; (c) rebate promotion code; (d) product name; (e) identification

code; (f) proof-of-purchase code; and (g) an electronic address; and (f) a URL link (see col 3, lines 50-60).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add identification code to the system of Wodka et al. in order to promote ease of management of the product that are part of the rebate marketing campaign.

Response to Arguments

11. The applicant's arguments are moot in light of the new grounds of rejection above.

Conclusion

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rodney M. Henry whose telephone number is 571-270-5102. The examiner can normally be reached on Tuesday through Friday from 7:30 am to 6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber can be reached on 571-272-6724. The fax phone number for the organization where this application or proceeding is assigned is 571-270-6102.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

rmh

/Arthur Duran/

Primary Examiner, Art Unit 3622